Docket No.:

GLAUKO.1C3CP1

February 21, 2007

Page 1 of 1



Please Direct All Correspondence to Customer Number 20995

TRANSMITTAL LETTER

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant

Hosheng Tu, et al.

App. No

: 10/706,300

Filed

November 12, 2003

For

GLAUCOMA IMPLANT WITH

THERAPEUTIC AGENTS

Examiner

Leslie R. Deak

Art Unit

3761

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 21, 2007

Walter S. Wu, Reg. No. 50,816

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing 272 references.
 - (X) Enclosing 61 references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Walter S. Wu

Registration No. 50,816

Attorney of Record

Customer No. 20,995

(949) 760-0404

3451467 022107 Docket No.: GLAUKO.1C3CP1

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Hosheng Tu, et al.

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WITH

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Leslie R. Deak

Art Unit

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February 21, 2007

(Date)

Walter S. Wu, Reg. No. 50,816

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 272 references to be considered by the Examiner. Also enclosed are 61 foreign patent references and/or non-patent literature as listed on the Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

2/20/07

02/27/2007 RMEBRAHT 00000084 10706300

01 FC:1806

180,00 OP

317

Walter S. Wu Registration No. 50,816

Attorney of Record

Customer No. 20,995

(949) 760-0404

3451423 022107

SUPPLEMENTAL INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Multiple sheets used when necessary)
SHEET 1 OF 11

Application No.	10/706,300		
Filing Date	November 12, 2003		
First Named Inventor	Hosheng Tu, et al.		
Art Unit	3761		
Examiner	Leslie R. Deak		
Attorney Docket No.	GLAUKO.1C3CP1		



			U.S. PATENT [DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevan Figures Appear
	1	DES 490,152	05-18-2004	Myall et al.	
	2	RE35,390	12-03-1996	Smith	
	3	3,788,327	01-29-1974	Donowitz et al.	
	4	4,037,604	07-26-1977	Newkirk	
	5	4,113,088	09-01-1978	Binkhorst	
	6	4,168,697	09-25-1979	Cantekin	
	7	4,175,563	11-27-1979	Arenberg et al.	
	8	4,402,681	09-06-1983	Haas et al.	
	9	4,428,746	01-31-1984	Mendez	
	10	4,501,274	02-26-1985	Skjaerpe	
	11	4,521,210	06-04-1985	Wong	
	12	4,554,918	11-26-1985	White	
	13	4,583,224	04-15-1986	Ishii, et al.	
	14	4,604,087	08-05-1986	Joseph	
	15	4,632,842	12-30-1986	Karwoski et al.	
	16	4,634,418	01-06-1987	Binder	
	17	4,718,907	01-12-1988	Karwoski et al.	
	18	4,722,724	02-02-1988	Schocket	
•	19	4,733,665	03-29-1988	Palmaz	
	20	4,750,901	06-14-1988	Molteno	
	21	4,787,885	11-29-1988	Binder	W. 10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	22	4,804,382	02-14-1989	Turina et al.	
	23	4,820,626	04-11-1989	Williams et al.	
	24	4,846,172	07-11-1989	Berlin	
	25	4,846,793	07-11-1989	Leonard et al.	
	26	4,853,224	08-01-1989	Wong	
	27	4,863,457	09-05-1989	Lee	
	28	4,883,864	11-28-1989	Scholz	
	29	4,886,488	12-12-1989	White	

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

SUPPLEMENTAL INFORMATION	Application No.	10/706,300
	Filing Date	November 12, 2003
DISCLOSURE	First Named Inventor	Hosheng Tu, et al.
STATEMENT BY APPLICANT	Art Unit	3761
(Multiple sheets used when necessary)	Examiner	Leslie R. Deak
SHEET 2 OF 11	Attorney Docket No.	GLAUKO.1C3CP1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	30	4,900,300	02-13-1990	Lee	
	31	4,936,825	06-26-1990	Ungerleider	
	32	4,946,436	08-07-1990	Smith	
	33	4,968,296	11-06-1990	Ritch et al.	
	34	4,997,652	03-05-1991	Wong	
	35	5,041,081	08-20-1991	Odrich	
,	36	5,073,163	12-17-1991	Lippman	
	37	5,092,837	03-03-1992	Ritch et al.	
	38	5,095,887	03-17-1992	Leon et al.	
	39	5,127,901	07-07-1992	Odrich	
	40	5,129,895	07-14-1992	Vassiliadis et al.	1000
	41	5,164,188	11-17-1992	Wong	77 100
	42	5,171,213	12-15-1992	Price, Jr.	
	43	5,178,604	01-12-1993	Baerveldt et al.	
	44	5,180,362	01-19-1993	Worst	
	45	5,207,685	05-04-1993	Cinberg, et al.	
	46	5,246,451	09-21-1993	Trescony et al.	
	47	5,290,295	03-01-1994	Querals et al.	
	48	5,300,020	04-05-1994	L'Esperance, Jr.	
	49	5,318,513	06-07-1994	Leib et al.	
	50	5,334,137	08-02-1994	Freeman	
	51	5,338,291	08-16-1994	Speckman et al.	
	52	5,346,464	09-13-1994	Camras	
	53	5,360,399	11-01-1994	Stegmann	
	54	5,370,607	12-06-1994	Memmen	
	55	5,370,641	12-06-1994	O'Donnell, Jr.	
~~~	56	5,372,577	12-13-1994	Ungerleider	
	57	5,397,300	03-14-1995	Baerveldt et al.	
	58	5,433,701	07-18-1995	Rubinstein	

Date Considered

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	SUPPLEMENTAL INFORMATION	Application No.	10/706,300
	DISCLOSURE	Filing Date	November 12, 2003
		First Named Inventor	Hosheng Tu, et al.
	STATEMENT BY APPLICANT	Art Unit	3761
Ì	(Multiple sheets used when necessary)	Examiner	Leslie R. Deak
Ì	SHEET 3 OF 11	Attorney Docket No.	GLAUKO.1C3CP1

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevan Figures Appear	
	59	5,443,505	08-22-1995	Wong, et al.		
-	60	5,454,796	10-03-1995	Krupin		
	61	5,472,440	12-05-1995	Beckman		
	62	5,476,445	12-19-1995	Baerveldt et al.		
	63	5,486,165	01-23-1996	Stegmann		
	64	5,516,522	05-14-1996	Peyman et al.		
	65	5,520,631	05-28-1996	Nordquist et al.		
	66	5,557,453	09-17-1996	Schalz et al.		
	67	5,558,629	09-24-1996	Baerveldt et al.		
	68	5,558,630	09-24-1996	Fisher		
	69	5,558,637	09-24-1996	Allonen et al.		
	70	5,562,641	10-08-1996	Flomenblit et al.		
	71	5,601,094	02-11-1997	Reiss		
	72	5,601,549	02-11-1997	Miyagi		
	73	5,626,558	05-06-1997	Suson		
	74	5,626,559	05-06-1997	Solomon		
	75	5,639,278	06-17-1997	Dereume et al.		
	76	5,651,783	07-29-1997	Reynard		
	77	5,665,114	09-09-1997	Weadock et al.		
	78	5,670,161	09-23-1997	Healy et al.		
	79	5,676,679	10-14-1997	Simon et al.		
-	80	5,681,275	10-28-1997	Ahmed		
	81	5,681,323	10-28-1997	Arick		
	82	5,702,414	12-30-1997	Richter et al.		
	83	5,702,419	12-30-1997	Berry et al.		
	84	5,704,907	01-06-1998	Nordquist et al.		
	85	5,713,844	02-03-1998	Peyman		
	86	5,723,005	03-03-1998	Herrick		
	87	5,741,333	04-21-1998	Frid		

Date Considered

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SUPPLEMENTAL INFORMATION	Application No.	10/706,300
	Filing Date	November 12, 2003
DISCLOSURE	First Named Inventor	Hosheng Tu, et al.
STATEMENT BY APPLICANT	Art Unit	3761
(Multiple sheets used when necessary)	Examiner	Leslie R. Deak
SHEET 4 OF 11	Attorney Docket No.	GLAUKO.1C3CP1

N	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
	88	5,743,868	04-28-1998	Brown et al.			
	89	5,752,928	05-19-1998	De Roulhac et al.			
	90	5,766,242	06-16-1998	Wong, et al.			
-	91	5,766,243	06-16-1998	Christensen et al.			
	92	5,785,674	07-28-1998	Mateen			
	93	5,807,302	09-15-1998	Wandel			
	94	5,810,870	09-22-1998	Myers et al.			
	95	5,824,072	10-20-1998	Wong			
	96	5,830,139	11-03-1998	Abreu			
	97	5,830,171	11-03-1998	Wallace			
	98	5,833,694	11-10-1998	Poncet, Philippe			
	99	5,836,939	11-07-1998	Negus et al.			
	100	5,865,831	02-02-1999	Cozean et al.			
	101	5,868,697	02-09-1999	Richter et al.			
	102	5,879,319	03-09-1999	Pynson et al.			
	103	5,882,327	03-16-1999	Jacob			
	104	5,886,822	03-23-1999	Spitzer			
	105	5,893,837	04-13-1999	Eagles et al.			
	106	5,908,449	06-01-1999	Bruchman et al.			
	107	5,932,299	08-03-1999	Katoot			
	108	5,968,058	10-19-1999	Richter et al.			
	109	5,980,928	11-09-1999	Terry, Paul B.			
	110	5,981,598	11-09-1999	Tatton			
	111	6,004,302	12-21-1999	Brierley			
	112	6,007,510	12-28-1999	Nigam			
	113	6,007,511	12-28-1999	Prywes			
	114	6,033,434	03-07-2000	Borghi			
	115	6,045,557	04-04-2000	White et al.			
	116	6,050,970	04-18-2000	Baerveldt			

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	Examiner Signature	Date Considered	
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### 10/706,300 Application No. SUPPLEMENTAL INFORMATION Filing Date November 12, 2003 **DISCLOSURE** First Named Inventor Hosheng Tu, et al. STATEMENT BY APPLICANT Art Unit 3761 (Multiple sheets used when necessary) Examiner Leslie R. Deak SHEET 5 OF 11 Attorney Docket No. GLAUKO.1C3CP1

			U.S. PATENT I	DOCUMENTS	
		Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	117	6,050,999	04-18-2000	Paraschac et al.	
	118	6,059,772	05-09-2000	Hsia et al.	
	119	6,059,812	05-09-2000	Clerc et al.	
	120	6,063,116	05-16-2000	Kelleher	
	121	6,063,396	05-16-2000	Kelleher	
	122	6,071,286	06-06-2000	Mawad	
	123	6,077,299	06-20-2000	Adelberg et al.	
	124	6,102,045	08-15-2000	Nordquist et al.	
	125	6,142,990	11-07-2000	Burk	
	126	6,165,210	12-26-2000	Lau et al.	
	127	6,168,575	01-02-2001	Soltanpour	
	128	6,174,305	01-16-2001	Mikus et al.	
	129	6,186,974	02-13-2001	Allan, et al.	
	130	6,187,016	02-13-2001	Hedges et al.	
	131	6,193,656	02-27-2001	Jeffries et al.	
	132	6,197,056	03-06-2001	Schachar	
	133	6,203,513	03-20-2001	Yaron et al.	
•	134	6,217,895	04-17-2001	Guo, et al.	
	135	6,228,873	05-08-2001	Brandt et al.	
	136	6,231,597	05-15-2001	Deem et al.	
	137	6,241,721	06-05-2001	Cozean et al.	
	138	6,251,090	06-26-2001	Avery, et al.	
	139	6,254,612	07-03-2001	Hieshima	
	140	6,261,256	07-17-2001	Ahmed	
	141	6,266,182	07-24-2001	Morita	
	142	6,268,398	07-31-2001	Ghosh et al.	
	143	6,287,313	09-11-2001	Sasso	
	144	6,299,895	10-09-2001	Hammang, et al.	
	145	6,331,313	12-18-2001	Wong, et al.	

- 1			
	Examiner Signature	 Date Considered	

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	Filing Date	November 12, 2003
DISCLOSURE	First Named Inventor	Hosheng Tu, et al.
STATEMENT BY APPLICANT	Art Unit	3761
(Multiple sheets used when necessary)	Examiner	Leslie R. Deak
SHEET 6 OF 11	Attorney Docket No.	GLAUKO.1C3CP1

			U.S. PATENT	OCUMENTS	-
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	146	6,342,058	01-29-2002	Portney	
	147	6,348,042	02-19-2002	Warren, Jr.	
	148	6,375,642	04-23-2002	Grieshaber et al.	
	149	6,413,540	07-02-2002	Yaacobi	
	150	6,416,777	07-09-2002	Yaacobi	
	151	6,428,501	08-06-2002	Reynard	
	152	6,436,427	08-20-2002	Hammang, et al.	
	153	6,450,937	09-17-2002	Mercereau et al.	
	154	6,450,984	09-17-2002	Lynch et al.	
	155	6,464,724	10-15-2002	Lynch et al.	
	156	6,524,275	02-25-2003	Lynch et al.	
	157	6,530,896	03-11-2003	Elliott, James B	
	158	6,533,768	03-18-2003	Hill	
	159	6,544,249	04-08-2003	Yu et al.	
	160	6,548,078	04-15-2003	Guo, et al.	
	161	6,579,235	06-17-2003	Abita et al.	
	162	6,585,680	07-01-2003	Bugge	
	163	6,595,945	07-22-2003	Brown	
	164	6,622,473	09-23-2003	Lynch et al.	
	165	6,626,858	09-30-2003	Lynch et al.	
	166	6,629,981	10-07-2003	Bui et al.	
	167	6,638,239	10-28-2003	Bergheim et al .	
	168	6,666,841	12-23-2003	Gharib et al .	
	169	6,699,211	03-02-2004	Savage	
	170	6,736,791	05-18-2004	Tu et al .	
	171	6,780,165	08-24-2004	Kadziauskas , et al.	
	172	6,783,544	08-31-2004	Lynch et al.	
	173	6,827,699	12-07-2004	Lynch et al.	
,	174	6,827,700	12-07-2004	Lynch et al.	

Examiner	Signat	ture
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Date Considered

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### Application No. 10/706,300 SUPPLEMENTAL INFORMATION Filing Date November 12, 2003 **DISCLOSURE** First Named Inventor Hosheng Tu, et al. STATEMENT BY APPLICANT Art Unit 3761 Leslie R. Deak (Multiple sheets used when necessary) Examiner GLAUKO.1C3CP1 SHEET 7 OF 11 Attorney Docket No.

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number  Number - Kind Code (if known)  Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear			
	175	6,955,656	10-18-2005	Bergheim et al.				
	176	7,033,603	04-25-2006	Nelson, et al.				
	177	7,094,225	08-22-2006	Tu et al.				
	178	7,135,009	11-14-2006	Tu et al.				
.1.4	179	2002/0013546	01-31-2002	Grieshaber et al.				
	180	2002/0013572	01-31-2002	Berlin				
	181	2002/0072673	06-13-2002	Yamamoto et al.				
	182	2002/0099434	07-25-2002	Buscemi et al.				
	183	2002/0133168	09-12-2002	Smedley et al .				
	184	2002/0143284	10-03-2002	Tu et al .				
<u> </u>	185	2002/0169130	11-14-2002	Tu et al .	N. 1911			
	186	2002/0188308	12-12-2002	Tu et al .				
	187	2003/0009124	01-09-2003	Lynch, et al.				
	188	2003/0055372	03-20-2003	Lynch, et al.				
	189	2003/0060752	03-27-2003	Bergheim et al .	***************************************			
	190	2003/0069637	04-10-2003	Lynch, et al.	7. 7			
	191	2003/0088260	05-08-2003	Smedley et al .				
	192	2003/0097151	05-22-2003	Smedley et al .				
	193	2003/0120200	06-26-2003	Bergheim et al .				
	194	2003/0181848	09-25-2003	Bergheim et al .				
	195	2003/0187384	10-02-2003	Bergheim et al .	100 TO 10			
<del></del>	196	2003/0187385	10-02-2003	Bergheim et al .				
	197	2003/0220602	11-27-2003	Lynch, et al.				
	198	2003/0220603	11-27-2003	Lynch, et al.				
*	199	2003/0229303	12-11-2003	Haffner et al .				
	200	2003/0236483	12-25-2003	Ren, David H.				
····	201	2003/0236484	12-25-2003	Lynch, et al.				
	202	2004/0024345	02-05-2004	Gharib et al .				
<del></del>	203	2004/0050392	03-18-2004	Tu et al .				

Examiner Signature	Date Considered	

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SUPPLEMENTAL INFORMATION	Application No.	10/706,300
	Filing Date	November 12, 2003
DISCLOSURE	First Named Inventor	Hosheng Tu, et al.
STATEMENT BY APPLICANT	Art Unit	3761
(Multiple sheets used when necessary)	Examiner	Leslie R. Deak
SHEET 8 OF 11	Attorney Docket No.	GLAUKO.1C3CP1

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
	204	2004/0102729	05-27-2004	Haffner et al .			
	205	2004/0111050	06-10-2004	Smedley et al .			
	206	2004/0127843	07-01-2004	Tu et al .			
	207	2004/0254520	12-16-2004	Porteous, et al.			
	208	2005/0038334	02-17-2005	Lynch et al.			
	209	2005/0049578	03-03-2005	Tu et al.			
	210	2005/0119737	06-02-2005	Bene, et al.			
	211	2005/0192527	09-01-05	Gharib, et al.			

	FOREIGN PATENT DOCUMENTS  Pages, Columns, Lines							
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹		
	212	AU 200072059	12-06-2000	Australia				
	213	CA 2244646	08-11-1998	Canada				
	214	EP 0 858 788	08-19-1998	Europe				
	215	EP 0 898 947	03-03-1999	Europe				
	216	EP 1 114 627	11-07-2001	Europe				
	217	FR 2 721 499	12-29-1995	France				
	218	FR 93 11476	03-31-1995	France		-		
	219	DE 198 40 047	03-23-2000	Germany				
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### 10/706,300 Application No. SUPPLEMENTAL INFORMATION Filing Date November 12, 2003 **DISCLOSURE** First Named Inventor Hosheng Tu, et al. STATEMENT BY APPLICANT Art Unit 3761 (Multiple sheets used when necessary) Examiner Leslie R. Deak SHEET 9 OF 11 Attorney Docket No. GLAUKO.1C3CP1

			FOREIGN PATE	NT DOCUMENTS		-
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
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	Filing Date	November 12, 2003
DISCLOSURE	First Named Inventor	Hosheng Tu, et al.
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(Multiple sheets used when necessary)	Examiner	Leslie R. Deak
SHEET 10 OF 11	Attorney Docket No.	GLAUKO.1C3CP1

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